

# TRANSMITTAL LETTER (General - Patent Pending)

Docket No.

CHA920010021US1

In Re Application Of: Moskowitz et al.

Serial No.  
09/990,842Filing Date  
11/21/2001

Examiner

Group Art Unit

Title: SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY

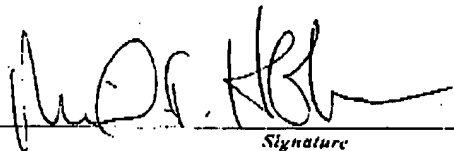
## TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Recordation Cover Sheet  
Assignment in 2 pages  
Acknowledgment Postcard

In the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0469 (IBM) as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$40.00
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Signature

Dated: December 11, 2001

Michael F. Hoffman - Reg. No. 40,019

Hoffman, Warnick & D'Alessandro LLC  
Three E-Comm Square  
Albany, New York 12207  
(518) 449-0044 Telephone  
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Signature of Person Mailing Correspondence

Martha Laffety

Typed or Printed Name of Person Mailing Correspondence

cc:



OIPF

MAR 28 2002

UTILITY / ~~DESIGN~~ PATENT

Date: DECEMBER 11, 2001

Miscellaneous

Date of O.A. (if any):

Rec'd in the U.S. Patent & Trademark Office on the date stamped hereon via  
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Docket # 249920010001 US1 SINCE/991, F42 Filed: 11-21-01

Patent# - Issued: -

Examiner: - Art Unit: -

Batch: - Atty: ALSH

Applicant: MOCKWITZ, et al.

Title SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES  
AND ASSURING PRIVACY

☒ Transmittal in duplicate of FILED MAIL ☐ Amendment in - pgs.

☐ - Mo. Ext. Time in duplicate ☐ Change of Address

☐ Request for Drawing Changes ☐ Small Entity Statement

☐ - pgs - Drawings ☒ Assignment in 2 pgs.

☐ \$ - Check for Amend. ☐ \$ - Check for Assign.

☐ Information Disclosure Statement with - Reference(s).

☒ RECORDATION COVER SHEET IN DUPLICATE

☐ -



Hoffman, Warnick & D'Alessandro LLC

Three E-Comm Square

Albany, New York 12207

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			Docket No. <b>CHA920010021US1</b>	
Applicant(s): <b>M sk witz et al.</b>				
Serial No. <b>09/990,842</b>	Filing Date <b>11/21/2001</b>	Examiner	Group Art Unit <b>2183</b>	
Invention: <b>SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY</b>				
<div style="text-align: right;">O I P E MAR 28 2002</div> <div style="text-align: center;">COPY OF PAPERS ORIGINALLY FILED <u>02/08/02</u></div>				
I hereby certify that this <u>Request for Substitute Papers and Corresponding Paperwork</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 746-4060 (OIPE)</u> ) on <u>March 28, 2002</u> (Date)  <div style="text-align: right;"><u>Dorothea Rubbone</u> (Typed or Printed Name of Person Signing Certificate)  <u>Dorothea Rubbone</u> (Signature)</div>				
Note: Each paper must have its own certificate of mailing.				

0410

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02/08/02

Received from <518 449 0047> at 3/28/02 10:36:56 AM [Eastern Standard Time]



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UNITED STATES DEPARTMENT OF COMMERCE  
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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/990,842	11/21/2001	Moskowitz, Paul	CHA920010021US1

PE  
MAR 28 2002

23550  
HOFFMAN WARNICK & D'ALESSANDRO, LLC  
3 E-COMM SQUARE  
ALBANY NY 12207

Title: Secure method and system for determining charges and assuring privacy

Date Mailed: 03/22/2002

Request for Substitute Papers

The papers filed on 02/08/02 (certificate of mailing dated 12/11/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Michael F. Hoffman Registration No.: 40,019

Signature: *Michael F. Hoffman* Date: March 28, 2002

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MAR 25 2002



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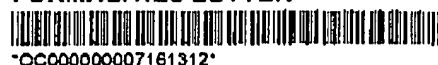
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,842	11/21/2001	Paul A. Moskowitz	CHA920010021US1

23550  
HOFFMAN WARNICK & D'ALESSANDRO, LLC  
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ORIGINALLY FILED  
02/08/02

CONFIRMATION NO. 2704

## FORMALITIES LETTER



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Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE